

Patent Application

Attorney Docket No. DT-0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: NEAL et al.

Attorney Docket No.: DT-0101

Application No.: 09/849,168

Examiner: Meinecke Diaz, Susanna M.

Filed: May 4, 2001

Group: 3623

Title: APPARATUS FOR MARCHANDISE
PRICE OPTIMIZATION

Confirmation No.: 1864

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certificate of Mailing

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Alexandria, VA 22313-1450 on January 5, 2006.

January 5, 2006

Signed: _____

Kang S. Lim

Dear Sirs:

INFORMATION DISCLOSURE STATEMENT FILED

UNDER 37 C.F.R. §§ 1.56 AND 1.97(b)

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the
Examiner's attention in the referenced application.

Citation of the above documents shall not be construed as an admission that the
documents are necessarily prior art with respect to the referenced application. Furthermore,
citation of the above documents shall not be construed as a representation that a search has been
made or an admission that the information cited herein is, or is considered to be, material to
patentability as defined in 37 C.F.R. § 1.56(b).

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted
under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X 37 C.F.R. § 1.97(b). This Information Disclosure Statement is: (i) filed within three (3) months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits or, (iii) believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement.

___ 37 C.F.R. § 1.97(c). This Information Disclosure Statement is filed after the period specified in C.F.R. § 1.97(b), but is believed to be filed before the mailing date of either (i) a final action under § 1.113 or, (ii) a notice of allowance under § 1.311.

___ A certification pursuant to 37 C.F.R. § 1.97(e) or

___ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198. The Commissioner is authorized to charge any additional fees that may be due to our Deposit Account No. 50-2766 (Order No. DT0101).

LAW OFFICES OF KANG S. LIM
PMB 436
3494 Camino Tassajara Road
Danville, CA 94506
Voice: (925) 570 8198
Facsimile: (925) 736 3974

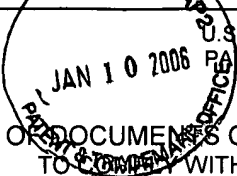
Respectfully submitted,



Kang S. Lim
Attorney for Applicant(s)
Reg. No. 37,491

CUSTOMER NO. 36088

\\KSL IDS d

 <p>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p>LIST OF DOCUMENTS CITED BY APPLICANT TO COMPLY WITH 37 C.F.R. 1.56</p>	ATTY. DOCKET NO. DT-0101	SERIAL NO. 09/849,168
	APPLICANT NEAL	
	FILING DATE 05/04/2001	GROUP 3623

U.S. PATENT DOCUMENTS

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,965,867	11/15/05	Jameson			
	AB	6,910,017	06/21/05	Woo et al.			
	AC	6,546,387	04/08/03	Triggs			
	AD	2002/0198794	12/26/02	Williams et al.			
	AE	2002/0123930	09/05/02	Boyd et al.			
	AF	2002/0107819	08/08/02	Ouimet			
	AG	6,405,175	06/11/02	Ng			
	AH	2002/0023001	02/21/02	McFarlin et al.			
	AI	5,615,109	03/25/97	Eder			
	AJ	5,521,813	05/28/96	Fox et al.			
	AK	5,212,791	05/18/93	Damian et al.			
	AL	4,744,026	05/10/88	Vanderbei			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	BA	Barth, Brad, "Shopko Tests Automated Markdowns", WWD 10/04/00, pages 1-3.
	BB	Cook, Martie, "Optimizing Space and Sales with Markdown Software", Office.com, 05/31/2000, page 1.
	BC	"Essentus and Spotlight Solutions Partnership Delivers Precise Markdown Decisions", Business Wire, 04/17/00, 3 pages.
	BD	Melcer, Rachel, "Local Tech Firm Creates Retail Markdown Tool", Business Courier online, 03/24/00, pages 1-4.
	BE	Technology Strategy Incorporated, www.grossprofit.com , 03/02/00, pages 1-20.
	BF	Kadiyali et al., "Manufacturer-retailer Channel Interactions and Implications for Channel Power: An Investigation of Pricing in Local Market", Marketing Science, Spring 2000, V. 19, Issue 2.
	BG	Andrew B. Gelman et al., "Bayesian Data Analysis", pgs. 439-455, Chapman & Hall/CRC, First Edition 1995, Reprinted 2000.
	BH	Hillier, Frederick S., et al., "Introduction to Operations Research", McGraw-Hill, Inc., 1995, Sixth Edition, pages 1-14.

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.